



PATENT

Case Docket No. MICRON.109DC1D1
Date: October 1, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Chen et al.
Appl. No. : 10/614,538
Filed : July 3, 2003
For : SEMICONDUCTOR STRUCTURE WITH
SUBSTANTIALLY ETCHED OXYNITRIDE
DEFECTS PROTRUDING THEREFROM
Examiner : Unknown
Group Art Unit: Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

10/1/03
(Date)
John R. King
John R. King, Reg. No. 34,362

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with eight (8) references.
- (X) Two (2) Office Actions for U.S. Application Nos. 10/234,577 and 10/405,201.
- (X) The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

John R. King
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Applicant : Chen, et al.
App. No. : 10/614,538
Filed : July 3, 2003
For : SEMICONDUCTOR STRUCTURE
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John R. King
John R. King, Reg. No. 34,362

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing eight (8) references that were previously cited by the Patent and Trademark Office in the prosecution of U.S. Patent Application No. 10/234,577, filed August 8, 2002, U.S. Patent Application No. 10/405,201, filed April 1, 2003 and U.S. Patent Application No. 10/405,200, filed April 1, 2003, which belong to the same family as the above-referenced application. Copies of the references are enclosed.

Two (2) The references were cited in an Office Action for U.S. Application No. 10/234,577, and five (5) references were cited in an Office Action for U.S. Application No. 10/405,201. One (1) reference was cited in the Notice of Allowability for U.S. Application No. 10/405,200. Copies of the Office Actions are enclosed for your reference.

Applicants note that there are several pending and issued patent applications in this family of patent applications. U.S. Patent Application No. 10/405,351, filed April 1, 2003, U.S. Patent Application No. 10/405,200, filed April 1, 2003, and U.S. Patent Application No. 10/405,201, filed April 1, 2003 are continuations of U. S. Patent Application No. 10/234,577, filed August 30, 2002, which is a continuation of U.S. Patent Application No. 09/738,796, filed December 15, 2003, now U.S. Patent No.

Appl. No. : 10/614,538
Filed : July 3, 2003

Docket No. MICRON.109DC1D1
Customer No. 20,995

6,455,906, which is a divisional of U.S. Patent Application No. 09/385,396, filed August 30, 1999, now U.S. Patent No. 6,358,788. U.S. Patent Application No. 10/614,538, filed July 3, 2003 is a divisional of U.S. Patent Application No. 10/234,577, filed August 30, 2002. U.S. Patent Application No. 10/369,273, filed February 18, 2003 is a continuation of U.S. Patent application 09/864,606, filed May 24, 2001, now U.S. Patent No. 6,592,777, which is a divisional of U. S. Patent Application No. 09/385,396, filed August 30, 1999, now U.S. Patent No. 6,358,788.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10/1/03

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MICRON.109DC1D1	APPLICATION NO. 10/614,538
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Chen, et al.	
		FILING DATE July 3, 2003	GROUP Unknown

(USE SEVERAL SHEETS IF NECESSARY)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	US 5,498,569	03/1996	Eastep, Brian			
	2	US 5,654,233	08/1997	Yu, Chen-Hua Douglas			
	3	US 6,150,214	11/2000	Kaeriyama, Toshiyuki			
	4	US 6,184,117 B1	02/2001	Lu, King Yu			
	5	US 6,187,617 B1	02/2001	Gauthier et al.			
	6	US 6,358,788 B1	03/2002	Chen et al.			
	7	US 6,455,906 B2	09/2002	Chen et al.			
	8	US 6,541,830	04/2003	Iyer, Ravi			
	9						
	10						
	11						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	12							
	13							
	14							
	15							
	16							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	17	
	18	
	19	
	20	

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	